

Cultural Collection Asset Guideline: AFI Research Collection

Section 1 - Purpose

(1) AFI Research Collection (AFIRC) collects and preserves a comprehensive collection of screen theory film history and Australian cinema for the benefit of current and future students, educators, academics, historians, researchers and the wider community.

(2) This guideline ensures the AFI Research Collection, an RMIT cultural asset, is cared for and managed in accordance with the:

- a. [Cultural Asset Management Procedure](#);
- b. National Standards for Australian Museums and Galleries;
- c. [ICOM Code of Ethics for Museums](#); and
- d. IFLA Code of Ethics for Librarians and other Information Workers.

Section 2 - Authority

(3) Authority for this document is established by the [Cultural Asset Management Procedure](#).

Section 3 - Scope

(4) These guidelines apply to all RMIT University employees and other individuals acting on behalf of the University within the AFI Research Collection.

Section 4 - Guideline

Collections Scope

Collection Themes

(5) The AFIRC collects material related to cinema and television industry and culture from around the world with a focus on Australian cinema through the following themes:

- a. film theory and criticism
- b. Australian film
- c. Australian television
- d. Australian directors
- e. Australian actors
- f. international film distribution and marketing within Australia
- g. Australian film distribution and marketing within Australia and internationally

- h. filmmaking processes
- i. film cultures around the world.

(6) The AFIRC also collects specified areas of changing University curriculum including:

- a. Asian cinema
- b. Documentary
- c. Literary Adaptation
- d. Histories of Film Theory
- e. Authorship and Narrative
- f. Television Cultures
- g. Popular Cinema
- h. Screening Politics and Economies

Historic Period/Time Period

(7) The AFIRC collection scope covers from 1895 to the present day.

Geographic Area/Region

(8) The geographic focus of the collection is Australia but may extend to other areas in accordance with changing University curriculum.

Physical Items to Be Collected

(9) The AFIRC will collect:

- a. books
- b. journals
- c. research
- d. film and television scripts
- e. newspaper and magazine clippings
- f. directories
- g. reports
- h. film promotional material, including posters, brochures
- i. film festival catalogues
- j. photographs
- k. oral histories

Collection Development

(10) Priorities for collection development will be identified annually by AFIRC staff and the AFIRC Collection Advisory Panel.

(11) Collection priorities will be shared with the Cultural Collections Acquisition Committee (CCAC) at the first CCAC meeting each year.

Acquisitions

Method of Acquisition

(12) AFIRC will acquire items by donation, bequest, purchase or transfer of title.

(13) AFIRC will not accept:

- a. conditional donations, or
- b. permanent loans. Extended loans for established periods of time will be negotiated as required (see Loans).

(14) Items offered to RMIT for AFIRC under the Cultural Gifts Program will be assessed in accordance with the Cultural Gifts Program Guideline.

(15) AFIRC will conduct acquisition processes in accordance with the ICOM Code of Ethics.

(16) All offers of donation will be reviewed considered by the CCAC, with final approval resting with the Deputy Vice-Chancellor Design and Social Context (or their approved delegate).

Acquisition Criteria

(17) Proposed acquisitions must meet the following criteria:

- a. Legal Requirements: The AFIRC will only accept items where the donor/vendor has clear legal title to the item.
- b. Provenance and Documentation: The history of the item must be known and associated documentation and support material can be provided.
- c. Storage: Acceptance of large items (or collections) will be conditional on storage space being available as well as resources required to house them appropriately.

(18) Proposals will be further assessed according to the following criteria:

- a. Significance: Items which are significant for their historic, aesthetic, scientific/research or social/spiritual value.
- b. Relevance: Items that relate to their collection purpose and collection scope (see Collections Scope).
- c. Condition, Intactness, Integrity: Items should be in good condition, suitable for research or display and not requiring extensive conservation work or treatment.
- d. Interpretive and Teaching Potential: Items that enhance the interpretation of collection themes or align with the University's teaching and learning objectives.
- e. Rarity: Rare examples of a particular kind of item.
- f. Representativeness: Excellent representative examples of a particular kind of item.
- g. Duplications: Items that duplicate items already within the collection or elsewhere within RMIT will not be accepted unless they are of superior condition and/or historic value.

Acquisition Process

General

(19) Any travel costs in excess of \$100, associated with assessment or acquiring of a potential collection item, must be pre-approved by the Collections and Archives Manager.

(20) No material should be brought onsite without prior approval from the CCAC. In instances of exceptional circumstances approval may be given by the Collections and Archives Manager (or their representative) for early receipt of material.

(21) In general, material will be considered unavailable for research, teaching and learning purposes until fully

processed.

Offers of Donation/Transfer

(22) In general, the first point of contact is the AFIRC Librarian. Potential Donors should be referred to the AFIRC Librarian.

(23) Potential donors, in liaison with the AFIRC Librarian, may be required to complete an Offer of Donation Form to record the context, history, significance and associations of the material, and will provide any supporting documentation demonstrating provenance. Relevant information will be compiled by the AFIRC Librarian in the Acquisition Proposal Form.

(24) The AFIRC Librarian will:

- a. appraise offers of donation against the Collection Scope and Acquisition Criteria and include this in the Acquisition Proposal Form
- b. ensure the Acquisition Proposal Form is complete and sent to the Collections and Archives Manager at least two weeks prior to the next CCAC meeting.

(25) The Collections and Archives Manager will send proposed acquisitions documentation and meeting agenda to the CCAC at least one week prior to meetings.

(26) The CCAC will recommend the offer of donation be approved or declined. Final approval for acquisitions rests with the Deputy Vice-Chancellor Design and Social Context, or their approved delegate.

(27) On approval of the proposed donation, the donor will be informed of the outcome and required to sign a Deed of Gift assigning legal ownership for the material to the AFIRC.

(28) The material will be transferred onsite for inventory, registering (or cataloguing), physical numbering, photographing (or digitisation) and rehousing.

(29) If any material is brought on site before it has been assessed and rejected by the CCAC, the material will be returned to the donor with an explanatory letter within 30 days.

(30) If the material for whatever reason is not claimed by the donor or it is not able to be returned, RMIT may treat any such material as uncollected goods according to the [Australian Consumer Law and Fair Trading Act 2012 \(Vic\)](#).

Purchases

(31) The AFIRC Librarian will be responsible for all vendor negotiations and, in general, be the first point of contact with them.

(32) Any member of the AFIRC Collection Advisory Panel may make recommendations for acquisitions by purchase via the Acquisition Proposal Form.

(33) The AFIRC Librarian will ensure:

- a. acquisition proposals are appraised against the Collection Scope and Acquisition Criteria.
- b. the Acquisition Proposal Form is complete and sent to the Collections and Archives Manager at least two weeks prior to the next CCAC meeting.

(34) The Collections and Archives Manager will send proposed acquisitions documentation and meeting agenda to the CCAC at least one week prior to meetings

(35) Items acquired for the AFIRC permanent collection by purchase, must obtain financial approval from the appropriate delegate.

- a. Should the total price for a purchased collection item exceed the capitalisation threshold of \$5000, including costs incurred in getting the asset to a position of use (e.g. import duties, delivery fees), AFIRC will inform Financial Control in accordance with the [Asset Management Procedure](#). This threshold excludes Library items and Works of Art.

Collection Storage and Conservation

(36) AFIRC aims to achieve high standards of collection care and storage.

- a. Collection storage areas will be secure, tidy and sealed against the weather.
- b. Access to storage areas will be controlled and monitored by AFIRC staff.
- c. Ultra-violet light will be excluded from storage areas. When storage areas are not in use lights must be turned off.
- d. Preference will be given to archival quality storage materials.
- e. No material will enter collection stores without first being quarantined.
- f. Storage areas will be regularly cleaned and checked for pests and other problems in accordance with the RMIT Cultural Collections Integrated Pest Management Plan.
- g. Collection items will be allocated a permanent storage location and, when not in immediate use, will be stored there at all times. Any change of location (including temporary) will be recorded immediately in the collection management system by trained personnel.
- h. Items should not be stored on the floor.
- i. Untrained personnel are not allowed to handle, clean, treat or restore collection items without supervision of collections staff.
- j. Researchers will be given guidance on how to appropriately handle specific materials prior to handling collections items and where required PPE will be provided to them.
- k. All professional staff and volunteers working with to handling collections will be required to participate in annual refresher training, to be led by collections staff.

Deaccessioning

Criteria for Deaccessioning

(37) An item may be considered for deaccessioning if:

- a. the physical condition of the item is so poor that restoration is not practicable or would compromise its integrity
 - i. items that are damaged beyond reasonable repair and are of no use for study or teaching purposes may be destroyed
- b. the item poses threats to health and safety to the staff and the public
- c. the item breaches Aboriginal, Torres Strait Islander or other community group cultural guidelines
- d. AFIRC is unable to care adequately for the item because of its particular requirements for storage or conservation
- e. the item is a duplicate that has no added value as part of a series
- f. the item is of poor quality and lacks aesthetic, historical and/or scientific value for exhibition or study purposes and no longer meets the criteria listed above under Acquisition Criteria
- g. authenticity or attribution of the item is determined to be false or fraudulent, and the fraudulent item lacks sufficient aesthetic, historical and/or research value to warrant retention. In disposing of a presumed forgery,

AFIRC shall consider all related legal, curatorial and ethical consequences, and should avoid releasing the item to public auction

- h. the item is more suited to another collecting organisation and its collecting scope
- i. AFIRC's possession of the item is inconsistent with applicable law or ethical principles, e.g., the item was, or may have been, stolen or illegally exported or imported, or the item may be subject to other legal claims for return or restitution
- j. the item is no longer consistent with AFIRC's mission or collecting goals
- k. a substantiated request for the return of the item to its original owner/donor is received.

Deaccessioning Process

(38) Collections staff will identify and propose material to the CCAC for consideration, with close reference to the Criteria for Deaccessioning.

(39) Deaccessioning proposals will be presented in writing via the Acquisitions Proposal Form and contain:

- a. a brief description of the item and provenance (if known)
- b. acquisition details and legal status
- c. reasons for recommending deaccessioning.

(40) Where possible and appropriate donors, or their legal representative, will be contacted and notified of intent to deaccession.

(41) The item identified for deaccession must be held for a twelve-month 'cooling-off' period before it is finally disposed of unless it poses an unacceptable hazard to personnel or to other collections, in which case it may be disposed of earlier, in consultation with Health, Safety and Wellbeing.

(42) When deaccessioned, the details provided as part of the deaccessioning proposal will be recorded on the item's file and will be available for inspection if required. Accession numbers will not be reallocated to other items.

(43) Staff, volunteers, committee members and their families are prohibited from purchasing, or otherwise obtaining, any deaccessioned item.

(44) Any funds realised from the deaccessioning and disposal of an item will be used solely for the benefit of the collection in accordance with the [Guidelines on Deaccessioning of the International Council of Museums](#).

(45) Where the AFIRC has decided to dispose by exchange, the agreement for exchange may include provision for payment or receipt of money in addition to the deaccessioned object, in recognition of the difference in value between the objects exchanged.

(46) Where possible and relevant, the name of the donor or the fund from which a deaccessioned item was originally acquired is to be credited to a new acquisition.

Disposal Methods

(47) Approved methods of disposal in priority order are:

- a. return to original donor (unless the item was acquired under the Australian Government's Cultural Gifts Program)
- b. transfer to a like-minded organisation, relevant, public collecting institution.
- c. redistribution within RMIT as an educative/interpretive tool
- d. sale by public auction (unless the item was acquired under the Australian Government's Cultural Gifts Program)

e. destruction.

Access

(48) Access to AFIRC is facilitated in the following ways:

- a. collection databases will be made available via the [AFIRC website](#)
- b. physical collection records and items are made available for research purposes by appointment under collection staff supervision.
- c. where possible, collections engagement will be enhanced through open storage, displays and public programs.
- d. AFIRC will lend items to other organisations for exhibition. It will not lend to private collectors, students or individuals.

Loans

General

(49) The AFIRC may:

- a. lend and borrow material to help meet its purpose.
- b. lend items to other collecting organisations. It will not lend to private collectors, students, or individuals.

(50) The AFIRC does not accept permanent, long-term or conditional loans.

(51) Loan forms must specify the agreed insurance coverage to be met by the Borrower.

(52) Loans documentation will be managed by AFIRC Librarian.

(53) Inter-Library Loans will be coordinated and managed by RMIT Library staff in coordination with AFIRC staff.

Inward Loans Process

(54) Inward loans shall only be accepted for specific research and for fixed periods of time.

- a. A representative of both AFIRC and the lender will be required to sign an agreed inward loan form.
- b. Each party will hold a copy of this agreement.
- c. This form will record conditions of the loan and the period of the loan.
- d. Loans shall remain in the possession of AFIRC for the time specified on the form.

(55) AFIRC agrees to exercise the same care with respect to loans as it does for its own collection.

(56) AFIRC may request to renew loans if required. Documentation recording renewal must be signed by the AFIRC and the lender.

(57) AFIRC will recognise the asset at current market value at insurance value set by the Lender and agreed to by RMIT's Central Risk Management team.

(58) AFIRC will inform Financial Control of receipt of any loaned item in accordance with the [Asset Management Procedure](#).

Outward Loans Process

(59) AFIRC may lend items to other collecting organisations. It will not lend to private collectors, students or individuals.

- a. A formal loan agreement will be signed by both parties, outlining any conditions and the period of the loan.
- b. The Borrower must exercise care in the handling, storage and display of the loaned item and must be prepared to meet the conditions outlined in the outward loan agreement.
- c. The Borrower will provide a secure display and/or storage area.
- d. The maximum loan period is 12 months. Applications for extension of this period must be made prior to the loan expiry date.

(60) Loaned items may not be treated or altered in any way without the written permission of AFIRC or the Collections and Archives Manager.

(61) Loans will remain in the possession of the borrower until returned to AFIRC.

(62) For any item listed on the asset register loaned to an outside institution, the AFIRC will notify Financial Control of the change of location, in accordance with the [Asset Management Procedure](#).

Born Digital and Digital Collections

(63) AFIRC acknowledges that digital collections are as important as physical and analogue collections.

(64) Decisions on born digital material will be subject to the same acquisition criteria and processes as non-digital items.

(65) The Digital Preservation Process will include the following steps:

- a. Quarantine: Records are checked for viruses and completeness against lists provided by government agencies.
- b. Preservation: Records are preserved (normalised, or converted, into open formats)
- c. Storage: Records are deposited for long-term storage in a digital archive.
- d. Back-up: Digital records are regularly backed-up (daily to xxx server; monthly to external hard-drive).

(66) Digitisation projects will be guided by the [National Library of Australia image capture standards](#) and the [National Archives of Australia technical specifications for digitising audiovisual records](#).

Oral Histories

(67) AFIRC will observe their legal, ethical and moral obligations in the recording, transcribing and subsequent use of oral history interviews in accordance with the [Oral History Australia Guidelines for Ethical Practice](#) as well as the [RMIT Research Policy](#).

(68) An Oral History Agreement must be signed by the person interviewed, which clearly states the purpose and intended uses of the interviews and what copyright provisions apply.

(69) AFIRC aims to have full copyright over any material it collects with regards to oral histories.

(70) Associated material collected as a result of an interview (e.g. diaries, photographs, etc.) will be subject to the same collection acquisition criteria as outlined in Acquisitions.

Legal/Ethical Obligations

Aboriginal Items

(71) AFIRC endorses Article 31 of the [United Nations Declaration on the Rights of Indigenous Peoples](#) which states that Indigenous peoples have the right to maintain, control, protect and develop their cultural heritage, traditional knowledge and traditional cultural expressions.

(72) AFIRC recognises First Nations Peoples' ownership of their Indigenous Cultural and Intellectual Property that may be held in our collections and works proactively with Aboriginal and Torres Strait Islander staff members and communities to provide access to collections. This includes any cultural heritage and knowledge recorded in published works.

(73) The management and display of collection items and/or documentation pertaining to any Aboriginal and Torres Strait Islander material will comply with the:

- a. [Australian Museums and Galleries Association First Peoples: Connecting Custodians \(2020\) \(draft\)](#),
- b. [Aboriginal and Torres Strait Islander Protocols for Libraries, Archives and Information Services \(2012\)](#), and
- c. [Dhumbah Goorowa Reconciliation Plan 2019-2020](#).

(74) In doing so, AFIRC will promote standards of excellence in practice, in accordance with National and State Libraries Australasia National position statement for Aboriginal and Torres Strait Islander library services and collections, with a focus on the following:

- a. The right of Aboriginal and Torres Strait Islander peoples to:
 - i. be informed about collections items that exist relating to them, their culture, language and heritage
 - ii. determine any use and access provisions for collection items that relate to them, their culture, language, history and perspectives
 - iii. request the removal of or limiting of access to content that should not be accessed due to cultural restrictions.
- b. The development of strategies to return usable copies of collection material to cultural owners to support cultural and language maintenance or revitalisation.
- c. Aboriginal and Torres Strait Islander people will be:
 - i. consulted in relation to the catalogue description and classification of collection materials, and opportunities will be made for them to annotate and describe material that relates to themselves and their communities
 - ii. consulted and involved with all aspects of any interpretation of any collection items that exist relating to them, their culture, language and heritage, whether for exhibition, publication or educational purposes.
- d. Aboriginal and Torres Strait Islander communities will be consulted about and before any digital content that might be made available online, including websites and social media.
- e. Where materials are accessible, whether online or physically, access will be preceded by a notice advising Aboriginal and Torres Strait Islander people of potentially sensitive or distressing content such as images, sounds and names of deceased persons; images of people who have not yet been identified; and historical images containing nudity.

(75) These principles will form the basis of an ongoing dialogue between stakeholders and the AFIRC and will be periodically reviewed and revised in order to better facilitate understanding, collaboration and organisational goals.

Copyright

(76) To the extent permitted by law and to the extent necessary to enable RMIT to exercise its rights, AFIRC will uphold:

- a. all intellectual rights (as defined in Article 2 of the [Convention Establishing the World Intellectual Property Organisation \(WIPO Convention\) \(1967\)](#))
- b. the principles of the [Intellectual Property Policy](#)
- c. all moral rights, rights of integrity and rights of attribution inherent in an acquisition.

Privacy

(77) AFIRC will adhere to the RMIT [Privacy Policy](#) and affirms RMIT's commitment to privacy and its approach to the responsible handling of personal and sensitive information in all its forms, consistent with relevant legislation.

Winding-Up Processes

(78) The AFIRC shall not be dissolved or wound-up otherwise than through termination of the Agreement between the Royal Melbourne Institute of Technology and the Australian Film Institute (AFI) (hereafter referred to as "the Agreement").

(79) In the event of the winding-up of the AFIRC, the Collection and any surplus assets of the Collection must not be paid to or distributed amongst any staff but shall be divided between both parties in accordance with Section 3 of the Agreement:

- a. AFI will retain title to the Collection as comprised in Annexure A of the Agreement at the commencement date (10 January 2003).
- b. RMIT will retain any additions to the Collection from 10 January 2003 onwards, as well as any items not listed in Annexure A.

(80) In the event of termination of the Agreement, AFI will at its own cost collect the Collection from RMIT.

(81) If AFI fails to collect the Collections from RMIT within 90 days of a notice from RMIT requiring it to do so, the Collection will become the property of RMIT in its entirety.

Status and Details

Status	Current
Effective Date	29th June 2021
Review Date	26th October 2023
Approval Authority	Head, Cultural and Public Engagement
Approval Date	29th June 2021
Expiry Date	Not Applicable
Policy Owner	Tim Marshall Deputy Vice-Chancellor Design and Social Context
Policy Author	Paula Toal Head, Cultural and Public Engagement
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