

Academic Promotion Procedure - Out of Round

Section 1 - Context

(1) Out of round promotion is a retention mechanism used in exceptional circumstances, including to counter an offer of employment made to an existing staff member from another organisation comparable with the standing and reputation of RMIT, or when an existing staff member has been awarded a grant, award or fellowship of acknowledged high national or international standing that may attract such an offer.

Section 2 - Authority

(2) Authority for this document is established by the Academic Promotion Policy.

Section 3 - Scope

(3) This procedure applies to all RMIT Academic staff currently appointed at level B, C or D who meet the prescribed eligibility requirements for promotion.

Section 4 - Procedure

Eligibility

(4) This procedure is used only where a convincing case can be made that an out of round promotion:

- a. is warranted and strategically important to the University; and
- b. can be made in a timely and responsive manner without compromising the standards of academic appointments.

(5) Applications for promotion out of round must satisfy the eligibility and criteria requirements of the Academic Promotion for Level C, D and E Procedure with the exception of clause 10(b). To be eligible for an out of round promotion application, staff must have 12 months' continuous service.

(6) In exceptional cases, the minimum period of service set out in the Academic Promotion for Levels C, D and E Procedure may be reduced from 12 months to 6 months to permit an early out-of-round application for academic promotion provided that the academic staff member has passed probation. The decision to reduce the minimum period of service will be determined on a case-by-case basis, by the Dean/Executive Dean or equivalent.

(7) Staff may submit an out of round application for promotion to the next academic level at any time, providing they:

- a. meet the requirements of clause 9
- b. have a convincing case that is supported by their Dean/Executive Dean or equivalent; and

c. have approval to proceed to submission by their DVC or equivalent (or nominee).

(8) An out of round promotion application will be permitted in circumstances of:

- a. retention in response to a credible written offer of employment at a higher level made to an existing staff member from another organisation comparable with the standing and reputation of RMIT; or
- b. retention of high performing existing academic staff member who has been awarded a grant, award or fellowship of acknowledged high national or international standing that may attract such an offer.

(9) If there has been a previous decision not to promote at RMIT, there must be persuasive evidence of a significantly stronger case for promotion.

Application

(10) The applicant's case for promotion must include:

- a. a completed application form
- b. a current CV
- c. documentary evidence of the offer of employment and/or documentary evidence of the award, grant or fellowship
- d. written or oral report/s from at least two independent referee/s
 - i. referees must be at least at the level being applied for and at least one should be external to RMIT
 - ii. if reports are oral, the Executive Officer will conduct the reference check.
- (11) The applicant's case must be:
 - a. supported by their Dean/Executive Dean or equivalent
 - b. approved by the relevant DVC or equivalent (or nominee) to proceed to submission.
- (12) The applicant's Dean/Executive Dean or equivalent must also:
 - a. confirm the applicant's current remuneration and proposed remuneration, including salary point and any merit loading, if applicable
 - b. confirm the proposed date the promotion would take effect.

(13) The Dean/Executive Dean or equivalent must submit all documents forming the application to the Executive Officer.

Out of Round Promotion Committee

(14) An Out of Round Promotion Committee will be convened by the Academic Promotion Executive Officer and will be composed of:

- a. three academics from different colleges, nominated by the DVCE,
- b. at least one must be a Band 3 academic, and
- c. the remaining two committee members must be classified at the same, or higher, academic level to which the application is being made.

(15) The committee will be supported by a non-voting executive officer.

(16) Committee members will:

- a. assess the application against the same promotion criteria and standards of performance for the level being sought as set for the annual promotion round
- b. provide their individual responses to the Deputy Vice-Chancellor Education (DVCE) within five (5) working days of receiving the submission, indicating whether they support or do not support the promotion.

(17) Where required to assist the committee's deliberations, the DVCE may request further information, including additional referee reports, from the applicant and/or Dean/Executive Dean or equivalent.

(18) The DVCE will provide the committee's decision regarding the application to the Executive Officer.

Implementation

(19) The Executive Officer will communicate the outcome to Dean/Executive Dean or equivalent.

(20) The Dean/Executive Dean is responsible for providing updates to the applicant.

(21) If the promotion is approved, People team will update the staff member's record to reflect the promotion and issue a new contract. The promotion will take effect from the date stipulated in the application.

(22) If promotion is not approved, the DVCE may recommend the payment of a market loading as an alternative.

(23) The Dean/Executive Dean (or nominee) is responsible for supporting the applicant to manage the implications of the promotion outcome, both in workload planning and career development.

Appeals

(24) The outcome of an application is final and appeals against either the decision or the process will not be considered.

Status and Details

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Effective Date	19th October 2020
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Policy Owner	Sherman Young Deputy Vice-Chancellor Education
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